

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Shunpei YAMAZAKI)
Serial No. 09/327,469)
Filed: June 8, 1999)
For: SEMICONDUCTOR DEVICE)
AND METHOD OF)
MANUFACTURING THE)
SAME)

Attention: Applications

Branch

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 7-29-99
Rose M. Fichtel



RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

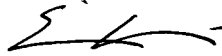
Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated July 1, 1999, submitted herewith is an executed Declaration and Power of Attorney, Assignment and Assignment Recordation Cover Sheet, and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fees under 37 CFR 1.16. (Statutory Basic Filing Fee - \$760, Surcharge - \$130, Additional Claims - \$1,254, Multiple Dependent Claim Surcharge - \$260, and Assignment Recordation Fee - \$40.00).

The above fees are covered by the attached check in the amount of \$2,444.00. Should there be any additional fees required for proper filing

of the attached documents, please charge Deposit Account No. 19-2380 (0756-1982). Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

Sixbey, Friedman, Leedom & Ferguson, P.C.
2010 Corporate Ridge, Suite 600
McLean, Virginia 22102
(703) 790-9110

Sector #3

Docket: 0756-1982



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of)
Shunpei YAMAZAKI)
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Filed: June 8, 1999)
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Rose M. Fichtel

Honorable Assistant Commissioner of Patents
Washington, D.C. 20231


Sir:

Transmitted herewith is a Response to Notice To File Missing Parts of Application in the above-identified application.

- [X] A check in the amount of \$2,444 to cover the filing fee, additional claims, surcharge, multiple dependent claims and assignment recordation is enclosed.
- [X] In the event applicant(s) has overlooked the need for any petition to effect the entry of the documents submitted herewith, it is respectfully requested that this be treated as such petition and that any necessary fees associated with this petition be charged to Deposit Account No. 19-2380.
- [X] In the event applicant(s) has overlooked the need for any petition and fee for extension of time, and such extension is required, applicant(s) requests that this be considered a petition therefor and that such fee be charged to Deposit Account No. 19-2380.
- [X] The Commissioner is hereby authorized to charge fees under 37 CFR 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c) and 1.20 (d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit

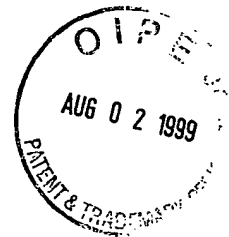
Account No. 19-2380. A duplicate copy of this sheet is attached.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

Sixbey, Friedman, Leedom & Ferguson, P.C.
8180 Greensboro Drive, Suite 800
McLean, Virginia 22102
(703) 790-9110



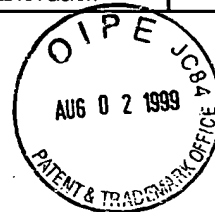
BEST AVAILABLE COPY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/327,469 06/08/99 YAMAZAKI



0756-1982

022294 0292/0701
SIXBEY FRIEDMAN LEEDOM AND FERGUSON
SUITE 800
8180 GREENSBORO DRIVE
MCLEAN VA 22102

NOT ASSIGNED

DATE MAILED: 2811

07/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2404

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 630 for 35 total claims over 20.
\$ 624 for 8 independent claims over 3.
\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(d) previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

P. Walker
Customer Service Center
Initial Patent Examination Division (703) 308-1202

00000049 09327469
08/04/1999 MARSH
01 FC:101
02 EC:102
03 FC:103
04 EC:104
05 FC:105
1998-446-824